

To: Cllr Tim Newhouse (Chairman)

Councillors: Glyn Banks, Haydn Bateman, Ian Dunbar, Alison Halford, Ian Roberts and Arnold Woolley

Co-opted Member:

Mr. Paul Williams

7 March 2017

Dear Councillor

You are invited to attend a meeting of the Audit Committee which will be held at 10.00 am on Wednesday, 15th March, 2017 in the Clwyd Committee Room, County Hall, Mold CH7 6NA to consider the following items

A G E N D A

1 APOLOGIES

Purpose: To receive any apologies.

2 DECLARATIONS OF INTEREST (INCLUDING WHIPPING DECLARATIONS)

Purpose: To receive any Declarations and advise Members accordingly.

3 MINUTES (Pages 5 - 14)

Purpose: To confirm as a correct record the minutes of the meeting held on 25 January 2017.

4 SCHOOL RESERVES AS AT MARCH 2016 (Pages 15 - 26)

Report of Chief Officer (Education and Youth) - Cabinet Member for Education

Purpose: This report sets out Flintshire school balances for year ending March 2016.

5 **PUBLIC SECTOR INTERNAL AUDIT STANDARDS** (Pages 27 - 74)

Report of Interim Internal Audit Manager -

Purpose: To inform the committee of the results of the annual internal assessment of conformance with the Public Sector Internal Audit Standards (PSIAS).

6 **CERTIFICATION OF GRANTS AND RETURNS 2015/16** (Pages 75 - 92)

Report of Corporate Finance Manager - Leader of the Council and Cabinet Member for Finance

Purpose: To inform members of the grant claim certification for 2015/16.

7 **WALES AUDIT OFFICE REPORT ON FINANCIAL RESILIENCE: SAVINGS PLANNING: COUNCIL RESPONSE** (Pages 93 - 112)

Report of Chief Executive - Leader of the Council and Cabinet Member for Finance

Purpose: To receive and endorse the findings of the Wales Audit Office report.

8 **TREASURY MANAGEMENT UPDATE 2016/17** (Pages 113 - 128)

Report of Corporate Finance Manager - Leader of the Council and Cabinet Member for Finance

Purpose: The report provides an update on matters relating to the Council's Treasury Management Policy, Strategy and Practices 2016/17 to the end of February 2017.

9 **INTERNAL AUDIT PROGRESS REPORT** (Pages 129 - 156)

Report of Interim Internal Audit Manager -

Purpose: To present to the Committee an update on the progress of the Internal Audit Department.

10 **ACTION TRACKING** (Pages 157 - 162)

Report of Interim Internal Audit Manager -

Purpose: To inform the Committee of the actions resulting from points raised at previous Audit Committee meetings.

11 **WALES AUDIT OFFICE (WAO) AUDIT PLAN 2017** (Pages 163 - 204)

Report of Chief Executive and Corporate Finance Manager - Leader of the Council and Cabinet Member for Finance

Purpose: Present the Wales Audit Office audit plan for 2017 which sets out their proposed audit work for the year along with timescales, costs and the audit teams responsible for carrying out the work.

12 **INTERNAL AUDIT STRATEGIC PLAN** (Pages 205 - 228)

Report of Interim Internal Audit Manager -

Purpose: To present the proposed Internal Audit Plan for the period 2017/18 to 2019/20 for Members' consideration.

13 **FORWARD WORK PROGRAMME** (Pages 229 - 236)

Report of Interim Internal Audit Manager -

Purpose: To consider the Forward Work Programme of the Internal Audit Department.

14 **AUDIT COMMITTEE SELF-ASSESSMENT** (Pages 237 - 246)

Report of Interim Internal Audit Manager -

Purpose: To inform Members of the results of the Audit Committee self-assessment which will feed into the preparation of the Annual Governance Statement 2016/17. It will also form the basis for the provision of any further training required by the Committee.

NOTE: Annual Meeting with Internal and External Auditors

In accordance with the agreed procedure, members of the Audit Committee will meet with the Internal and External Auditors immediately following the meeting.

Yours sincerely



Robert Robins
Democratic Services Manager